

GP 2152  
4/13T  
PATENTCase Docket No. ALAMM.001A  
Date: April 10, 2003  
4-28-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael T. Aarons  
Appl. No. : 09/836,729  
Filed : April 17, 2001  
For : FINANCIAL TRANSACTION  
CARD  
Examiner : Unknown  
Group Art Unit : 2152

) I hereby certify that this correspondence  
) and all marked attachments are being  
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) Trademark Office, P.O. Box 2327,  
) Arlington, VA 22202, on

April 10, 2003

(Date)

Arthur S. Rose, Reg. No. 28,038

## TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

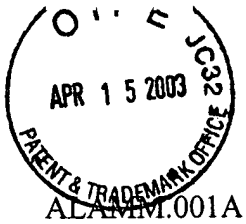
- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with two (2) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) A return prepaid postcard.

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Arthur S. Rose  
Registration No. 28,038  
Attorney of Record  
Customer No. 20,995  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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App. No. : 09/836,729 )  
Filed : April 17, 2001 )  
For : FINANCIAL TRANSACTION )  
CARD )  
Examiner : Unknown )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days days/months prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list,

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Appl. No. : 09/921,733  
Filed : August 3, 2001

nor represents that better art does not exist.



Dated: 4/15/03

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

By: 

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ALAMM.001AAPPLICATION NO.  
09/836,729INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Michael T. AaronsFILING DATE  
April 17, 2001GROUP  
2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,745,678	04/28/98	Herzberg, et al.			
	2						
	3						
	4						
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	7						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11							
	12							
	13							
	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	17	PCT Written Opinion for International Application No. PCT/US01/41573 mailed March 17, 2003.
	18	
	19	
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EXAMINER

DATE CONSIDERED

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